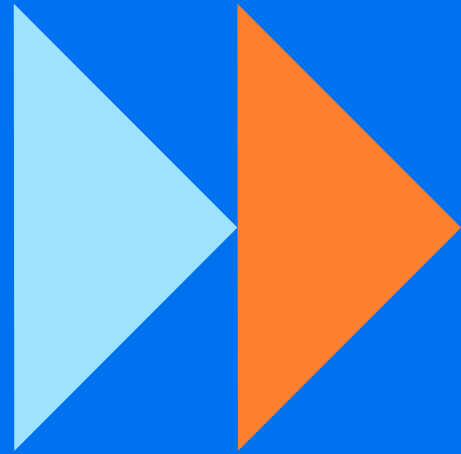




# A GUIDE TO YOUR INVESTMENT REPORT



**We designed your investment report  
with three principles in mind:**

- Make it personalized, straightforward and uncomplicated.
- Include both a long-term portfolio view and a short-term activity report.
- Help you effectively monitor and manage your portfolio.

**Your investment report will clearly show where your money is invested,  
how your money is invested and how well your investments are doing.**

**ATB Securities Inc.**

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## Your investment report

This section includes your personal information, the reporting period for both quarterly and monthly investment reports, and the accounts the statement covers (including both registered and non-registered accounts).

2

## Questions

This section provides you with contact information if you have questions about your investment report or portfolio.

3

## Change in the value of your accounts

This section summarizes the activity of your accounts for the reporting period. Quarterly reports include two time periods: the quarter covered by the report ("This period") and the year to date ("This year"). For monthly reports, "This period" is the calendar month covered by the report.

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## Performance of your investments

This section features a bar graph that compares the value of your portfolio with the net amount you've invested. The top bar (A) is the market value of your portfolio at the end of the period. The bottom bar (B) is the net amount you have invested (all deposits minus any withdrawals). Also displayed are past rates of return for your portfolio over distinct periods. Returns of one year or more are annualized. Returns for less than one year are stated as simple returns.

**Note:** This section will not appear in monthly reports.

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## Market value of your investments by asset class

This section features a table that shows the combined investment mix for your accounts at the end of the reporting period. The table shows only asset classes you actually hold. Investments that don't fit into specific asset class categories—like balanced mutual funds—are reported as Unclassified Investments.

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## Informative messages

Additional information may appear in this section, such as important reminders, helpful tips or added details about a particular section of your statement.

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## Performance

Using the same format as in section 4, this section contains account-specific market value changes through distinct periods.

**Note:** This section will not appear in monthly or quarterly single-account reports.

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## Activity this period

This section reports the transactions that took place in your account in chronological order through the statement period. It begins with the opening cash balance and ends with the closing cash balance.

Pages 1 and 2 of your report present a consolidated summary of your account(s).

## Your investment report

For the period from April 1 to June 30, 2011

MR ADAM SMITH  
123 45 ST  
EDMONTON AB A1B 2C3

ATB Securities Inc.  
Member CIPF

**Your accounts**  
**Registered accounts**  
Account number ABO-1234-A  
Account number ABO-1234-B  
Account number ABO-1234-C

### Consolidated portfolio information

#### Change in the value of your accounts

	This period Apr 1 to Jun 30	This year Jan 1 to Jun 30
Opening value	\$240,678.21	\$221,360.21
Amounts you deposited	\$0.00	\$10,000.00
Amounts you withdrew	\$0.00	\$0.00
Income (interest, dividends, and distributions)	\$0.00	\$0.00
Expenses (excluding trade commissions)	-\$1,051.69	-\$1,831.19
Market changes and prior period adjustments	-\$1,803.27	\$8,294.23
<b>Closing value on Jun 30, 2011</b>	<b>\$237,823.25</b>	<b>\$237,823.25</b>

### Questions?

If you have questions about your statement, please contact:

**Your financial advisor**  
John Smith  
Telephone: 012-345-6789  
E-mail: johnsmith@atb.com  
Fax: 012-345-6789

**Your financial advisor's assistant**  
Paul Smith  
Telephone: 012-345-6789

## Performance of your investments

Since you opened your accounts



■ A. Market value on Jun 30, 2011: **\$237,823.25**  
(net amount invested plus market changes and income minus expenses)

■ B. Net amount you've invested: **\$195,293.56**  
(deposits minus withdrawals)

**Change in value (A. \$237,823.25 - B. \$195,293.56) = \$42,529.69**

### Past rates of return

This year	2.8%
One year	20.0%
Three years	4.2%
Five years	3.7%

For information on how we calculate your personal rate of return, please see the section "Important information about your investments" at the back of this investment report.

Prepared for  
MR ADAM SMITH

ATB Securities Inc.

## Your investment report

For the period from April 1 to June 30, 2011

**Registered accounts**  
Account number ABO-1234-A  
Account number ABO-1234-B  
Account number ABO-1234-C

### Consolidated portfolio information (continued)

#### Market value of your investments by asset class on June 30, 2011

Asset class	Total (\$)	% of accounts
Cash and equivalents	1,186.74	0.5%
Fixed income	12,071.91	5.1%
Alternatives	28,548.30	12.0%
Canadian equities	68,114.96	28.6%
US equities	51,971.51	21.9%
International and global equities	75,929.83	31.9%
<b>Total accounts</b>	<b>\$237,823.25</b>	<b>100.0%</b>

The market values in the table above show how your investments are distributed among different asset classes. These asset classes include Alternatives, which contains income trusts such as REITs.

### Are you saving enough for your children's education?

The best way to save for a child's education is inside an RESP. Let us show you how affordable monthly contributions, combined with government grants, can grow into a significant education fund. Contact your ATB Investor Services representative today.

**Currency**  
All values are presented in Canadian dollars (C\$).

On June 30, 2011:  
US \$1 = C\$ 0.9643

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## Holdings in your account

This section details all the investments you hold in your account. Holdings in a Compass Portfolio appear first, followed by other holdings categorized by asset class. The quantity, current price and market value of investments are described for each holding and are represented as a percentage of the account.

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## Information about your registered account

This section appears in quarterly reports for certain types of registered accounts. Contribution and withdrawal amounts made to or from your RRSP/RRIF account(s) in that calendar year, as well as beneficiary information, are included.

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## Details of your Compass Portfolio series holdings

This section appears in quarterly reports if you have Compass Portfolio investments. It breaks down the specific underlying investments that make up the portfolio into their respective asset classes and provides the relative market value for each. It also describes each investment as a percentage of the total portfolio against the target allocation range set out by the portfolio manager.

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## Important information about your investments

Here you will find helpful information regarding your statement, including explanations of terms used, the administration of your investments and additional services available to you.

Prepared for  
MR ADAM SMITH

Your investment report  
For the period from April 1 to June 30, 2011

ATB Securities Inc.

### Important information about your investments

Knowing where you stand is fundamental to successful investing. We have taken great care to ensure your investment report is accurate. Please review it carefully and contact your adviser if you notice anything that does not match your records. We'll assume this investment report is correct unless you notify us within 45 days of the end of the reporting period.

#### Terms used in your statement

*Non-registered and Registered accounts* refer to the taxable status of your accounts. Registered accounts such as Registered Retirement Savings Plans (RRSPs) enable you to defer taxes.

*Since inception* is defined as the date your account was established with ATB Securities Inc. For accounts moved from ATB Investment Services Inc. ("ATBIS") in September 2010, since inception (for the purpose of calculating past rates of return) represents the date your account was originally opened with ATBIS.

*Market changes* refer to the difference between the net amount you invested and the market value for the period stated and does not include subsequent income distributions or expenses paid on your account.

*Change in value* refers to the difference between the net amount you invested, including subsequent deposits and withdrawals, and the current market value inclusive of income distribution and fees.

*Segregated* refers to investments that are registered in your name and held separately from any other securities or property held by ATB Securities Inc.

*Transaction cost* includes any fees and commissions you paid for a transaction. The transaction cost of a purchase becomes part of the total cost of the investment. The transaction cost of a sale is included in the gain or loss for tax purposes.

*Share term abbreviations:* In this statement, these abbreviations have the following meanings: DSC: Deferred Sales Charge; NCI: Non-Certificate Issue; GIC: Mutual Funds; NYS: Non-Voting Shares; RS: Restricted Shares; RTS: Rights; RVS: Restricted Voting Shares; SUB: Subscription; SV: Subordinate Voting Shares; WTS: Warrants.

#### How we calculate your personal past rate of return

We use Modified Dietz an industry standard time-weighted method to calculate your personal rate of return that takes into account the timing of deposits and withdrawals you make in your portfolio.

Returns of one year or more are annualized. Returns of less than one year are simple returns.

#### Trades to settle after reporting period

Trades you made that have settlement dates after this period do not appear in this report. They will appear in your next investment report.

#### Bonds and accrued interest

The market values reported on the statement do not include accrued interest. The accrued interest is reported separately.

#### Leveraging Risk Disclosure Statement

Using borrowed money to finance the purchase of securities involves greater risk than using cash resources only. If I borrow money to purchase securities, my responsibility to repay the loan and pay interest as required by its terms remains the same even if the value of the securities purchased declines.

#### Free Credit Balance

Any free credit balance represents funds payable on demand which, although properly recorded in our books, are not segregated and may be used in the conduct of our business.

#### Trustee

Canadian Western Trust Company is the trustee for: RRSP, RRIF, LIRA, LIP, RESP, TFSA, and RESP accounts.

#### Information at your fingertips

With ATB Investor Connect you can easily access your investment account balances, transactions, and more, 24 hours a day, 7 days a week. One click. The highest level of security. And free! Visit [atb.com](http://atb.com) and click the Online Financial Services link to get connected.

#### Canadian Investor Protection Fund (CIPF)

ATB Securities Inc. is a member of CIPF. Customers' accounts are protected by the CIPF within specific limits. A brochure describing the nature and limits of coverage is available upon request.

#### Compass Portfolio Series

Compass Portfolio Series mutual funds are managed by ATB Investment Management Inc. ATB Investment Management Inc. is a wholly owned subsidiary of Alberta Treasury Branches, a financial institution, and ATB Securities Inc. is also a wholly owned subsidiary of Alberta Treasury Branches. Compass Portfolio Series mutual funds are related issuers of ATB Securities Inc. and ATB Securities Inc. receives compensation for the sales of Compass Portfolio Series mutual funds.

#### ATB Securities Inc.

ATB Securities Inc. is a wholly owned subsidiary of Alberta Treasury Branches. ATB Securities Inc. is a licensed user of the trademark ATB Investor Services.

#### Income tax information

We are required to disclose to the Canada Revenue Agency the security transactions made by our clients. You must report any income or capital gains from these transactions on your annual income tax return.

#### Additional information available upon request

As a client of ATB Securities Inc. you are entitled to receive information by written request about our company, which includes a most recent statement of financial condition and a list of our Directors and Senior Officers. Our clients in British Columbia are entitled to certain additional information about us, including information about commissions and fees that we charge, and about administrative proceedings that may relate to the firm or our staff. We will be pleased to provide you with this information upon your request.

Director of Compliance  
Suite 720, 919 - 110 Avenue SW  
Calgary Alberta T2R 1P3

If you have any questions or require additional information please talk to your Advisor or call us at 1 (888) ATB-7121.

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Subsequent pages of your investment report present detailed information regarding your specific accounts and holdings.

Prepared for  
MR ADAM SMITH

ATB Securities Inc.

Your investment report  
For the period from April 1 to June 30, 2011

#### Registered accounts

Account number AB0-1234-A  
Account number AB0-1234-B  
Account number AB0-1234-C

#### Account information

##### RRSP account AB0-1234-A

#### Performance

Since you opened this account – Oct 06, 2005



Change in value (A. \$126,926.13 – B. \$100,827.27) = \$26,098.86

#### Past rates of return

This year	2.9%
One year	20.5%
Three years	4.4%
Five years	3.8%
Since inception	4.4%

#### Market changes in the value of your investments

This period	-\$962.57
This year	\$4,629.60
Since account opened	\$13,351.95

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#### Activity this period

Settle Date	Trade Date	Description	Investment	Quantity	x	Price (\$)	=	Amount (\$)	Cash balance (\$)
Apr 01/11	Apr 01/11	Opening cash balance							\$0.00
Apr 25/11	Apr 19/11	You sold	ATB COMPASS GROWTH F-NL	-38,8989		14.7702		\$71.59	\$71.59
Apr 25/11	Apr 25/11	Management fee						-\$44.37	
Apr 25/11	Apr 25/11	Goods & services tax						-\$27.22	
Jun 30/11	Jun 30/11	Closing cash balance							\$0.00

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#### Holdings in your account

Investment	How held	Quantity	x	Current price (\$)	=	Market value (\$)	% of account
ATB COMPASS GROWTH F-NL	Segregated	8,518.4181		14.9002		\$126,926.13	100.0%
<b>Total for this account on June 30, 2011</b>						<b>\$126,926.13</b>	<b>100.0%</b>

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#### Information about your RRSP account

Your beneficiary  
LISA SMITH (100%)

Contributions you made  
First 60 days of 2011: \$0.00 After the first 60 days of 2011: \$0.00

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Prepared for  
MR ADAM SMITH

ATB Securities Inc.

Your investment report

For the period from April 1 to June 30, 2011

#### Registered accounts

Account number AB0-1234-A  
Account number AB0-1234-B  
Account number AB0-1234-C

#### Investment information

##### Details of your Compass Portfolio Series holdings

#### Compass Growth

Investments by asset class	Market value (\$)	% of Portfolio	Target allocation
<b>Cash and equivalents</b>			
Cash	1,166.74	0.5%	
<b>Total Cash and equivalents</b>	<b>\$1,166.74</b>	<b>0.5%</b>	
<b>Fixed income</b>			
BlackRock Canada Universe Bond Index	12,071.91	5.1%	
<b>Total Fixed income</b>	<b>\$12,071.91</b>	<b>5.1%</b>	<b>5% – 15%</b>
<b>Alternatives</b>			
Bissett REIT	15,796.22	6.0%	
BlackRock Shares S&P 600 Index Fund	12,186.06	5.1%	
Kensington Global Private Equity Fund	566.02	0.2%	
<b>Total Alternatives</b>	<b>\$28,548.30</b>	<b>12.0%</b>	<b>8% – 15%</b>
<b>Canadian equities</b>			
BlackRock Canadian Equity Index	16,726.11	7.0%	
Kensington Co-Investment Fund (2008)	168.85	0.1%	
Kensington Private Equity Fund IV	2,763.51	1.2%	
Mawer Canadian Equity Fund – Series O	26,070.18	11.0%	
Mawer New Canada Fund – Series O	16,735.62	7.0%	
Trivest Capital Partners Fund III	5,650.68	2.4%	
<b>Total Canadian equities</b>	<b>\$68,114.36</b>	<b>28.6%</b>	<b>16% – 26%</b>
<b>US equities</b>			
BlackRock Cdn US Equity Index	35,647.33	15.0%	
Lincoln US Equity	16,328.19	6.9%	
<b>Total US equities</b>	<b>\$51,971.51</b>	<b>21.9%</b>	<b>26% – 36%</b>
<b>International and global equities</b>			
BlackRock Cdn ISCI EAFE Equity Index	19,801.16	8.3%	
Franklin Templeton Global Smaller Co. – Series O	15,810.49	6.6%	
Kensington Int'l Private Equity Fund I	4,901.91	2.1%	
Lincoln International Equity	14,909.14	6.3%	
Mawer World Investment Fund – Series O	20,417.13	8.6%	
<b>Total International and global equities</b>	<b>\$75,929.83</b>	<b>31.9%</b>	<b>23% – 33%</b>
<b>Total Compass Growth</b>	<b>\$237,823.25</b>	<b>100.0%</b>	

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# QUESTIONS?



If something in your statement is still unclear, talk to your financial advisor or call 1-855-541-4387. We're ready to answer your questions.

**Please note:**

Your actual investment statement may differ slightly from what appears in this guide. Some of the features illustrated here are available on quarterly statement periods, while others may not reflect the investment or account types you hold.



## ATB Securities Inc.

ATB Wealth consists of a range of financial services provided by ATB Financial and certain of its subsidiaries. ATB Investment Management Inc. and ATB Securities Inc. are individually licensed users of the registered trade name ATB Wealth. ATB Securities Inc. is a member of the Canadian Investor Protection Fund and Canadian Investment Regulatory Organization.

ATB Investment Management Inc. ("ATBIM") manages the Compass Portfolios. ATBIM and ATB Securities Inc. are wholly owned subsidiaries of ATB Financial, and may operate under the registered trademark ATB Wealth. Additional information about the Compass Portfolios and other important disclosures may be found on the ATB Compass Portfolios page.